



Introduction to Compliance

Treasurer Training

What we will cover?

- Meet the team
- Party Finance
 - PPERA
 - Electoral Commission
 - Donations
 - Accounts
 - Investigations
 - Sanctions
- Elections
- The Role of the Compliance Team
- Support we offer
- Questions

Meet the Team

Kerry Buist - Head of Compliance & Data Protection

Lloyd Harris - Compliance Projects Officer

Esther McGee - Data Protection Officer

Nazmin Khan - Compliance & Data Protection Administrator

David Allworthy - Consultant

Party Finance

- Political Parties, Elections & Referendum Act 2000
- Electoral Commission

- Role

“The Electoral Commission is the independent body which oversees elections and regulates political finance in the United Kingdom. We work to promote public confidence in the democratic process and ensure its integrity.”

- Culture

- Relationship

Donations

- What is a donation?
 - Cash
 - Notional/Gifts in Kind
 - Discount
- What are the reporting thresholds?
- Who can make a donation?

Who can make a donation?

In Great Britain, permissible sources are:

- individuals registered on a UK electoral register, including overseas electors and those leaving bequests
- most UK-registered companies
- Great Britain registered political parties
- UK-registered trade unions
- UK-registered building societies
- UK-registered limited liability partnerships (LLP) that carry on business in the UK
- UK-registered friendly societies
- UK-based unincorporated associations that carry on business or other activities in the UK
- some types of trust and certain public funds

Donation summary

Donation type:	Cash
Value:	£2,500.00
Time period:	Q1 2020, Quarterly (Donations)
Click for more details:	C0498337
Reported date:	29/04/2020
Published date:	04/06/2020
Accounting unit:	St Albans

Donation details

Received date:	17/02/2020
Accepted date:	17/02/2020
Is bequest?:	No
Is sponsorship:	No
Is aggregation?:	No
Accounting units as central party?:	No

Donor details

Donor status:	Individual
Donor name:	Mandy McNeil
Is anonymous?:	No

Donation type:	Non Cash
Value:	£1,650.00
Time period:	Q1 2020, Quarterly (Donations)
Click for more details:	NC0498370
Reported date:	29/04/2020
Published date:	04/06/2020
Accounting unit:	St Albans

Donation details

Received date:	01/01/2020
Accepted date:	01/01/2020
Nature / provision:	Premises
Explanatory notes:	
Is bequest?:	No
Is sponsorship:	No
Is aggregation?:	No
Accounting units as central party?:	No

Donor details

Donor status:	Company
Donor name:	Liberal Premises (St Albans) Limited
Company reg. no.:	470115
Address line 1:	9 Hatfield Road
Town:	St Albans
Postcode:	AL1 3RR
Country:	United Kingdom

Donations

- What is a donation?
- Cash
- Notional/Gifts in Kind
- Discount
- Who can make a donation?
- What needs to be recorded?
- Aggregation
- Who is responsible?
- Deadlines
- Investigations
- Sanctions

Annual Accounts

- Financial Year
- Categories of Accounts

Turnover	Deadline
Income or expenditure under £25,000	No submission to EC
Income or expenditure over £25,000.01	30 th April (4 months from end of financial year)
Income or expenditure over £250,000	30 th June (6 months from end of financial year plus audit)

Annual Accounts

- Format
- Who is responsible for the submission?
- How we support local parties
- Additional information from accounts

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Number of results per page [10](#) | [20](#) | [30](#)

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Previous **1** Next

Optional columns ▾

Click for more details	Entity Name	Reported by	Register	SOA period	Total income ↑	Total expenditure	Assets	Liabilities	Date submitted	SOA band	Redacted document
ST0022624	Liberal Democrats	St Albans	Great Britain	2019	£197,765.54	£141,669.63	£117,334.68	£21,218.46	23/04/2020	£250,000 or under	Download
ST0022085	Conservative and Unionist Party	St Albans	Great Britain	2019	£47,913.00	£45,463.00	£141,045.00	£0.00	30/04/2020	£250,000 or under	Download
ST0022575	Labour Party	St Albans CLP	Great Britain	2019	£44,355.00	£44,529.00	£4,717.00	£0.00	25/03/2020	£250,000 or under	Download

Loans & Trusts

- Loans & credit facilities have to be reported to the Electoral Commission
- Documents must be provided
- Publicly available on the website
- Struggle to keep it up to date
- Trusts – blind and beneficial
- We can access money out of one easily but the other is complex
- We have a member who provides expert advice
- Void for perpetuity

Elections

- Donations still under PPERA
- Representation of the People Act 1983
- Role of Election Agent
- Candidate appointment
- Risk for the Party

The role of the Compliance Team

- Support the local parties in meeting their statutory obligations
- Provide training and guidance to enable local parties to be more self-sufficient
- Create and submit reports to the Electoral Commission
- Access bespoke advice for complex issues

Where can you get more information?

- Email the Teams:
compliance@libdems.org.uk

data.protection@libdems.org.uk
- Join a Compliance Clinic
- Treasurers' Slack channel
- 28 Day Treasurer Training Challenge
- Online Training Events
- Compliance section of the website – due to be updated

Questions

